

16179 U.S. PTO
021204

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+

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))

Attorney Docket No.

IN-5725

First Named Inventor

Walter H. OHRBOM, et al.

Filing Date

February 11, 2004

Title

Express Mail Certificate

ER 047 861 878 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages]
-(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Application
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper☐ Statements verifying identify of above copies

4. ☒ Drawing(s) (35 U.S.C.113) [Total Sheets]
5. ☐ Oath or Declaration [Total Sheets]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR § 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
6. ☒ Application Data Sheet. See 37 CFR 1.76

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR§3.73(b) Statement ☐ Power Of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/ PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment;

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No _____, filed on _____.

Prior application information:

Examiner

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number

26922

or

☐ Correspondence address below

Country

USA

Telephone

(248) 948-2021

Fax

(248) 948-2093

Name (print Type)

Anne Gerry Sabourin

Registration No. (Attorney Agent)

33,772

Signature

Anne G. Sabourin

Date

February 11, 2004

17548 U.S. PTO
10/777301

021204

FEE TRANSMITTAL

For FY 2004

Patent fees are subject to annual revision.

Application Number

Filing Date

February 11, 2004

First Named Inventor

Walter H. OHRBOM, et al.

Examiner Name

Art Unit

Attorney Docket No.

IN-5725

Express Mail Certificate

ER 047 861 878 US

Customer Number

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TOTAL AMOUNT OF PAYMENT \$824.00**METHOD OF PAYMENT (check all that apply)**☒ Deposit Account: ☐ Credit Card ☐ Check

Deposit Account Number

23-3425

Deposit Account Name

BASF CORPORATION

The Director is authorized to: (check all that apply)

- ☒ Change fees indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, **except for the filing fee** to the above-identified deposit account

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity

| Fee | Code | Fee Description |
|------|------|------------------------|
| 1001 | 740 | Utility filing fee |
| 1002 | 340 | Design filing fee |
| 1003 | 530 | Plant filing fee |
| 1004 | 770 | Reissue filing fee |
| 1005 | 160 | Provisional filing fee |

\$770.00

SUBTOTAL (1)

\$

2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid

Total Claims **23** -20** = **03** x **18** = **\$54.00**

Independent

Claims **01** - 3** = **0** x **84** = **\$**

Multiple Dependent

280

=

**Or number previously paid, if greater; For Reissues, see below

Large Entity

| Fee | Code | Fee Description |
|------|------|------------------------|
| 1202 | 18 | Claims in excess of 20 |

1201 86 Independent claims in excess of 3

1203 290 Multiple dependent claims, if not paid

1204 86 **Reissue independent claims over original patent

1205 18 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)**(\$54.00)**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITION FEES**

| Large Entity | Fee | Fee Description | Fee Paid |
|--------------|----------|--|----------|
| Fee Code | Fee (\$) | | |
| 1051 | 130 | Surcharge -late filing fee or oath | |
| 1052 | 50 | Surcharge-late provisional fee or Cover sheet | |
| 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1850 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | Extension for reply within first month | |
| 1252 | 420 | Extension for reply within second month | |
| 1253 | 950 | Extension for reply within third month | |
| 1254 | 1,480 | Extension for reply within fourth month | |
| 1255 | 2,010 | Extension for reply within fifth month | |
| 1401 | 330 | Notice of Appeal | |
| 1402 | 330 | Filing a brief in support of an appeal | |
| 1403 | 290 | Request for oral hearing | |
| 1451 | 1,510 | Petition to institute public use proceeding | |
| 1452 | 110 | Petition to revive-unavoidable | |
| 1453 | 1,330 | Petition to revive-unintentional | |
| 1501 | 1,330 | Utility issue fee (or reissue) | |
| 1502 | 480 | Design issue fee | |
| 1503 | 640 | Plant issue fee | |
| 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | Submission information Disclosure Stmt | |
| 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 770 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 770 | Request for Continued Examination (RCE) | |
| 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

Country USA

Telephone

(248) 948-2021

Fax

(248) 948-2093

Name (print Type) Anne Gerry Sabourin

Registration No. (Attorney Agent)

33,772

Signature

Anne H. Sabourin

Date

February 11, 2004

PATENT

(Practitioner's Docket No. IN-5725)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Walter H. OHRBOM, et al.

US Serial No. Herewith

Filed: February 11, 2004

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: USE OF CARBAMATE-MODIFIED AMINOPLAST RESINS TO IMPROVE THE APPEARANCE AND PERFORMANCE OF POWDER COATINGS

**Commissioner of Patents
Washington, D.C. 20231**

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **ER 047 861 878 US** Date of Deposit **February 11, 2004**

I hereby certify that the following attached paper or fee

| | |
|----------|--------------------------------------|
| X | Patent Application Data Sheet |
|----------|--------------------------------------|

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

PATENT

(Practitioner's Docket No. IN-5725)

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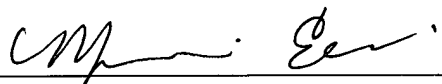
I hereby certify that the following attached paper or fee

☒ English Application
Specification on pages; claims on pages;
the abstract on page(s); drawings on page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.